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## FAX TRANSMITTAL SHEET

NO. OF PAGES (including this page): 9

TO:

Prema Maria MERTZ

**Art Unit:** 

1646

FAX:

(571) 273-0876

FROM:

Sheela Mohan-Peterson

DATE:

April 19, 2004

RE:

Docket No.: DX01341

USSN: 10/008,566 Filed: 11/08/2001

ride. 11/00/20

Title: NUCLEIC ACIDS ENCODING A

CYTOKINE RECEPTOR COMPLEX (as amended)

## Any difficulty with this facsimile, please call: Melanie Lyons at (650) 496-1183

## Documents attached:

1. Transmittal		1 page		
2.	Fee Transmittal (in duplicate)	2 pages		
3.	Amendment Number 2	5 pages		

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Melanie Lyons

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TRANSMITTAL

PTO/SB/21 (03-03)

10/008,566

11/08/2001

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**Application Number** 

Filing Date

FORM		First Named Inventor	Pe	Pedro A. RECHE-GALLARDO			
(to be used for all correspondence after initial l	Sieel	Art Unit	164	546			
to de useo for all correspondence arter initial r	Examiner Name	P. I	Mertz				
Total Number of Pages in This Submission	Attorney Docket Number	DX	X01341				
ENCLOSURES (Check all that apply)							
X Fee Transmittal Form		Drawing(s)		After Allowance Communication to Group			
Fee Attached	Licensing-related Papers Petition		Appeal Communication to Board				
Amendment/Reply			of Appeals and Interferences				
After Final		Petition to Convert to a Provisional Application		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
Response to Missing Parts/		Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  marks: Amendment Number 2 (5 pages)  Fax Transmittal Sheet (1 page)		Proprietary Information Status Letter X Other Enclosure(s) (please Identify below);			
		E OF APPLICANT, ATTORNEY	<u>, or</u>	RAGENT			
Firm or Individual Sheela Mohan-Peterson, Reg. No. 41,201  DNAX Research, Inc. 901 California Ave. Palo Alto, CA 94304-1104							
Signature Meele toh tek							
Date 19-App. 5004							
CERTIFICATE OF TRANSMISSION/MAILING							
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Signature The Car		en from		Date April 19, 2004			
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process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. Department of Commerce

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## Complete if Known FEE TRANSMITTAL Application Number 10/008,566 Filing Date 11/08/2001 for FY 2004 First Named Inventor Pedro A. RECHE-GALLARDO Examiner Name P. Mertz Effective 10/01/2003. Patent fees are subject to annual revision. Art Unit 1646 Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT Attorney Docket No. DX01341 (\$) 0

SUBMITTED BY		(Complete (if applicable))			
Name (Print/Type) Sheela Mohan-Petersor		Registration No.	41,201	Telephone 1-650-496-6400	
Signature \	ele Moh- Pet			Date 19-APR-2004	

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PTO/SB/17 (10-03)

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Under the Paperwork Reduction Act of 1993, no persons are requi		Complete if Known			
FEE TRANSM	IITTAL	Application Number	10/008,566		
for FY 2004  Effective 10/01/2003. Patent fees are subject to annual revision.		Filing Date	11/08/2001		
		First Named Inventor	Pedro A. RECHE-GALLARDO		
		Examiner Name	P. Mertz		
Applicant claims small entity status. See 37 CFR 1.27		Art Unit	1646		
	(\$) 0	Attorney Docket No.	DX01341		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit Card Other None	3. ADDITIONAL FEES			
X Deposit Account:	Large Entity Small Entity Fee Description Fee Paid			
Deposit Account Number: 04-1239	Fee Fee Fee			
Deposit Account Name: DNAX Research, Inc.	Code (\$) Code (\$)			
The Commissioner is authorized to: (check all that apply)	1051 130 2051 65 Surcharge – late filing fee or oath  1052 50 2052 25 Surcharge – late provisional filing fee or	-		
X Charge fee(s) indicated below X Credit any overpayments	1052 50 2052 25 Surcharge – late provisional filing fee or cover sheet	_		
X Charge any additional fee(a) during the pendency of this	1053 130 1053 130 Non-English specification	_		
application	1812 2,520 1812 2,520 For filing a request for ex parte reexamination	_		
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1804 920° 1804 920° Requesting publication of SIR prior to	-		
FEE CALCULATION	Examiner action			
	1805 1,640* 1805 1,840*Requesting publication of SIR after			
1. BASIC FILING FEE	Examiner action	-		
Large Entity Small Entity Fee Description Fee Paid	1251 110 2251 55 Extension for reply within first month	-		
Fee Fee Fee Fee	1252 420 2252 210 Extension for reply within second month	-		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee 0	1253 950 2253 475 Extension for reply within third month	-		
1 1 1	1254 1,480 2254 740 Extension for reply within fourth month  1255 2,010 2255 1,005 Extension for reply within fifth month	-		
	1-44	-		
1003 530 2003 265 Plant filling fee	1401 330 2401 165 Notice of Appeal  1402 330 2402 165 Filing a brief in support of an appeal			
1005 160 2005 80 Provisional filing fee	1403 290 2403 145 Request for oral hearing	_		
	1451 1,510 1451 1,510 Petition to institute a public use proceeding	_		
\$UBTOTAL (1) <u>\$ 0</u>	1452 110 2452 55 Petition to revive – unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive – unintentional	_		
Fee from	1501 1,330 2501 665 Utility Issue fee (or reissue)	_		
Extra Claims Below Fee Paid	1502 480 2502 240 Design issue fee	_		
Total Claims 9 -20** = 0 X - =	1503 640 2503 320 Plant Issue fee	_		
Independent 2 -3** = 0 X = =	1480 130 1460 130 Petitions to the Commissioner	-		
Claims	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	_		
Multiple Dependent =	1806 180 1806 160 Submission of information Disclosure Stmt	-		
Large Entity Small Entity Fee Fee Fee Fee Description	8021 40 8021 40 Recording each patent assignment per			
Code (\$) Code (\$)	property (times number of properties)	-		
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3		-		
1203 290 2203 145 Multiple dependent claim, if not peld 1204 86 2204 43 ** Reissue Independent claims	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))			
1204 86 2204 43 ** Relssue Independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)	_		
1205 18 2205 9 ** Reissue claims In excess of 20	1802 900 1802 900 Request for expedited examination	_		
and over original patent	of a design application			
2	Other fee (specify)	_		
SUBTOTAL (2) 5 0				
or number previously paid, if greater, For Relssues, see above	* Reduced by Besic Filing Fee Paid SUBTOTAL (3) \$ 0			

SUBMITTED BY		(Complete (if applicable))			
Name (Print/Type)	Sheela Mohan-Peterson	Registration No.	41,201	Teleph	оле 1-650-496-6400
	ule Noh-Pet		<u> </u>	Date	19-APR-2004

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